



ALLOCATIONS FAQ

What is an allocation assignment?

Typically, an allocation assignment involves division of a defined fund. When a dispute has settled and multiple claimants are entitled to some (yet to be determined) portion of the fund, usually tied to the proceeds of a settlement, the court and/or the parties may request the assistance of a neutral to assist in the allocation. Settlement allocation assignments may differ significantly one from another in scope, length, complexity, and process.

How do neutrals receive these assignments?

Generally, a neutral receives an allocation assignment in one of three ways.

- ✚ By court appointment
- ✚ By written stipulation/agreement of the parties
- ✚ At the request of Plaintiffs' counsel

What to do if your neutral receives an inquiry for this type of assignment?

Regardless of how your neutral receives the assignment all allocations and potential allocations should be sent to legal for review. Please review the [Things That Must Be Reviewed – Cheat Sheet](#) for details on who to send the assignment to.

When sending for review, please provide as much information as possible about the assignment. Legal will then advise if there is additional information needed and the next steps for setting up the matter administratively.

If follow up is needed, depending on the specific issue or follow up, the neutral should be consulted and involved in the communication with the client where appropriate. (Legal will work with the Associate to determine whether this is appropriate and/or necessary.)

Does the neutral need to complete disclosures?

It depends on the assignment. However, we encourage neutrals to send some type of disclosure given the important nature of allocations. We want the participating parties to be comfortable with the process and disclosures assist with this. When sending for review legal will guide you based on the nature of the assignment.

Other Questions

For all other questions, please reach out to a member of the legal team.